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# Evaluation of the carbon content of aerosols from the burning of biomass in the Brazilian Amazon using thermal, optical and thermal-optical analysis methods

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#### **Abstract**

Aerosol samples were collected at a pasture site in the Amazon Basin as part of the project LBA-SMOCC-2002 (*Large-Scale Biosphere-Atmosphere Experiment in Amazonia - Smoke Aerosols, Clouds, Rainfall and Climate: Aerosols from Biomass Burning Perturb Global and Regional Climate*). Sampling was conducted during the late dry season, when the aerosol composition was dominated by biomass burning emissions, especially in the submicron fraction. A 13-stage Dekati low-pressure impactor (DLPI) was used to collect particles with nominal aerodynamic diameters (D<sub>p</sub>) ranging from 0.03 to 0.10 μm. Gravimetric analyses of the DLPI substrates and filters were performed to obtain aerosol mass concentrations. The concentrations of total, apparent elemental, and organic carbon (TC, EC<sub>a</sub>, and OC) were determined using thermal and thermal-optical analysis (TOA) methods. A light transmission meth-

od (LTM) was used to determine the concentration of equivalent black carbon (BC<sub>e</sub>) or the absorbing fraction at 880 nm for the size-resolved samples.

During the dry period, due to the pervasive presence of fires in the region upwind of the sampling site, concentrations of fine aerosols ( $D_p < 2.5 \ \mu m$ : average 59.8  $\mu g \ m^{-3}$ ) were higher than coarse aerosols ( $D_p > 2.5 \ \mu m$ : 4.1  $\mu g \ m^{-3}$ ). Carbonaceous matter, estimated as the sum of the particulate organic matter (i.e., OC x 1.8) plus BC<sub>e</sub>, comprised more than 90% to the total aerosol mass. Concentrations of EC<sub>a</sub> (estimated by thermal analysis with a correction for charring) and BC<sub>e</sub> (estimated by LTM) averaged  $5.2 \pm 1.3$  and  $3.1 \pm 0.8 \ \mu g \ m^{-3}$ , respectively. The determination of EC was improved by extracting water-soluble organic material from the samples, which reduced the average light absorption Ångström exponent of particles in the size range of 0.1 to 1.0  $\mu$ m from >2.0 to approximately 1.2. The size-resolved BC<sub>e</sub> measured by the LTM showed a clear maximum between 0.4 and 0.6  $\mu$ m in diameter. The concentrations of OC and BC<sub>e</sub> varied diurnally during the dry period, and this variation is related to diurnal changes in boundary layer thickness and in fire frequency.

#### 1 Introduction

Biomass burning in the tropics introduces huge concentrations (up to 40,000 cm<sup>-3</sup>) of aerosol particles into the atmosphere [Artaxo et al., 2002]. These particles significantly affect climate forcing [Hobbs et al., 1997], cloud properties and precipitation patterns [Rosenfeld, 1999; Koren et al., 2004; Rosenfeld et al., 2008], health [EPA, 2003; Pope and Dockery, 2006], and ecosystems [Barth et al., 2005]. The impacts of these particles depend in great part on aerosol composition and size. Therefore, detailed information on their chemical and physical properties is required.

Particles from biomass burning consist mainly of carbonaceous material (comprising elemental carbon (EC or BC) and organic carbon (OC)), and a small amount of inorganic material [Reid et al., 2005; Andreae and Gelencsér, 2006; Fuzzi et al., 2007]. In the Brazilian Amazon, the chemical composition of the inorganic fraction during biomass burning has been extensively studied [Artaxo et al., 1998, 2000, 2002; Andreae et al., 1997; Reid et al., 1998; Formenti et al., 2003: Martin et al., 2010]; however, there are relatively few studies focusing on the carbonaceous fraction [Graham et al., 2002; Mayol-Bracero et al. 2002a; Guyon et al., 2003; Falkovich et al., 2005; Decesari et al., 2006; Fuzzi et al., 2007]. Findings from the *Eu*-

ropean contribution to the Large-Scale Biosphere-Atmosphere Experiment in Amazonia (LBA-EUSTACH) [Andreae et al., 2002; Graham et al., 2002; Mayol-Bracero et al. 2002a] demonstrated that aerosols were predominantly in the fine fraction (accumulation mode particles) and that most of the carbonaceous material was water-soluble organic carbon (WSOC) (45%-75% of the OC). These findings suggested that this aerosol fraction may contribute significantly to the cloud condensation nuclei (CCN) activity [Mayol-Bracero et al., 2002a; Roberts et al., 2002]. It was also shown that polycarboxylic acids and probably HULIS (humiclike substances) may be responsible for at least 26% of the WSOC fraction [Mayol-Bracero et al., 2002a]. The polycarboxylic acid water-soluble fraction is effective at lowering the surface tension of cloud droplets, implying that these compounds might play an important role in the precipitation mechanisms in regions where biomass burning contributes significantly to the total aerosol mass [Mayol-Bracero et al., 2002a; Mircea et al., 2005]. During the LBA-SMOCC-2002 campaign, Hoffer and collaborators [2006b] characterized the total carbon (TC) and WSOC from fine bulk samples in order to measure the high-molecular weight carbon (HMWC) compounds. They found that the HMWC dominated the TC composition. Diel variations in anhydrosugars and phenolic acids suggested that the phenolic acids may undergo chemical transformations towards more refractory compounds, as was also implied previously for HULIS.

There are very few studies that present size-resolved information about the carbonaceous fractions during biomass burning at tropical locations (e.g., Echalar et al., 1998; Falkovich et al., 2005; Decesari et al., 2006). Size-resolved EC/BC and OC information is important since it provides a better understanding of the lifetimes and optical properties of these species. In the Amazon Basin, prior to LBA-SMOCC-2002, the only study was the one by Echalar et al. [1998], which reported size-resolved BC concentrations, having determined BC using a light reflectance technique. To our knowledge, ours is the first study that presents both EC<sub>a</sub> and OC size distributions for the dry season in the Amazon Basin. This type of study is essential for improving our understanding of the climate impact of BC or EC, species whose climatic effects strongly depend on their physical and chemical properties, as well as on their residence time and distribution in the atmosphere [Jacobson, 2001; Novakov et al., 2005]. Herckes et al. [2006] reported OC and molecular source marker species size distributions from biomass burning at the Yosemite National Park, CA. This study showed that more than 75% of the OC mass and most of the molecular marker species were associated with fine aerosol particles. Falkovich and collaborators [2005] studied the low-molecular-weight (LMW) organic acids in

aerosol particles using a cascade impactor with eleven stages (Micro Orifice Uniform Deposit Impactor – MOUDI) during SMOCC-2002. They found that LMW polar organic acids, which may contribute to the CCN activity, accounted for a significant fraction of the WSOC in biomass burning aerosols (10-20 %). Also, Fuzzi and collaborators [2007], during the same campaign, presented an overview of the size-segregated inorganic and organic results from different cascade impactor samplers. This study characterized organic material (mainly watersoluble), ions, and mineral dust. During the dry period, the average mass concentration of particulate matter with a diameter below 10  $\mu m$  (PM<sub>10</sub>) was above 50  $\mu g$  m<sup>-3</sup>. The size distributions were dominated by the fine mode, which was mainly composed of organic material, mostly water-soluble, and had ~10% soluble inorganic salts, with sulfate as the major anion. Decesari et al. [2006] employed different techniques for individual compound analysis in order to speciate the aerosol organic compounds during SMOCC-2002. In that study, up to 8% of the submicron TC (and 11% of WSOC) was speciated at the molecular level. Polyhydroxvlated compounds, aliphatic and aromatic acids were the main classes. Characterizations of 50–90% of the WSOC into neutral species, light acids, and humic-like substances were also made. The size-segregated composition of WSOC was summarized by a set of model compounds, which represent both the organic compound composition and the functional groups of the WSOC. With this information one was able to predict the aerosol hygroscopic properties and CCN ability over Amazonia [Mircea et al., 2005].

To our knowledge, a study presenting the size-resolved carbonaceous components (i.e., OC and EC) of biomass-burning dominated aerosols in the Amazon Basin has not been reported. One of the reasons for this is the difficulty in distinguishing OC from EC in biomass burning samples using the commonly used thermal analytical techniques [Gundel et al., 1984; Novakov and Corrigan, 1995; Mayol-Bracero et al., 2002a; Pöschl, 2003; Andreae and Gelencsér, 2006].

Thermal analyses of the carbonaceous fraction from aerosol samples allow the determination of OC and EC<sub>a</sub>. Apparent elemental carbon (EC<sub>a</sub>) is operationally defined as the fraction of carbon that is oxidized above certain temperature threshold in the presence of an oxygen-containing atmosphere [Andreae and Gelencsér, 2006]. Various corrections for charring are usually made, depending on the specific technique used [Chow et al., 1993, 2001, 2004, 2007, NIOSH, 1996, 1999; Zhen et al., 2002]. OC is defined as TC minus the sum of carbonate and EC<sub>a</sub>. A related parameter, equivalent black carbon (BC<sub>e</sub>) is defined as the amount of strongly

light-absorbing carbon with the approximate optical properties of soot carbon ( $C_{soot}$ ) that would give the same signal in an optical instrument (e.g., in the light transmission method) as the sample. These definitions of  $EC_a$  and  $BC_e$  are operational and method dependent, and are used as approximations for the concentration of light absorbing carbon (LAC) or  $C_{soot}$  [Andreae and Gelencsér, 2006].  $C_{soot}$  refers to carbon particles with the morphological and chemical properties typical of soot particles from combustion: Aggregates of spherules made of graphene layers, consisting almost purely of carbon, with minor amounts of bound heteroelements, especially hydrogen and oxygen. This definition does not include the organic substances (oils, etc.) frequently present in, or on, combustion particles. Together with light-absorbing organic compounds (also called "brown carbon"),  $C_{soot}$  makes up the LAC fraction of the atmospheric aerosol.

For biomass burning samples, there is no sharp boundary for differentiating OC from EC<sub>a</sub>, due to the presence of OC material that is highly refractory and optically absorbing, like brown carbon and humic-like substances [Pöschl, 2003; Hoffer et al., 2006a; Hoffer et al., 2006b; Andreae and Gelencsér, 2006]. The results obtained by different authors and different techniques can therefore vary dramatically, especially for EC<sub>a</sub>, as a result of different analytical protocols [Novakov and Corrigan, 1995; Mayol-Bracero et al., 2002a; Kirchstetter et al., 2003, Watson et al., 2005].

In this paper, we present the size-resolved concentrations of carbonaceous aerosol particles collected at a pasture site in Rondônia, Brazil, during the biomass-burning dominated part of the SMOCC-2002 campaign, giving special attention to the determination of  $EC_a$  or  $BC_e$  using several thermal and optical methods.

#### 2 Experimental

## 2.1 Sampling Site and Experimental Setup

The sampling site was located on a pasture of the ranch Fazenda Nossa Senhora Aparecida (FNS) in the Brazilian Amazon (10°45'44"S, 62°21'27"W) near the town of Ouro Preto D'Oeste, Rondônia, Brazil. Sample collection took place during the September-October 2002 burning period. This site has experienced intense deforestation by vegetation fires over the last 30 years [Andreae et al., 2002; Kirkman et al., 2002; Trebs et al., 2005]. Maps of the fire locations in Fuzzi et al. [2007] clearly show that the sampling site was under the influence of

emissions from fires extending to 2000 km upwind of the site during the sampling period. Also there was local burning nearby, which was particularly an influence at night-time because of the trapping of smoke in the nocturnal boundary layer.

### 2.1.1 Dekati Low-Pressure Impactor

Aerosol samples were collected with a 13-stage Dekati low-pressure impactor (DLPI) which separates particles according to their particle aerodynamic diameter ( $D_p$ ) (from ~10 µm down to 30 nm). The DLPI was placed on a tower at a height of ~8 m. Size-resolved particles were collected on quartz fiber filters (Pallflex Membrane Filter-Tissuquartz 2500 QAT-UP) and Teflon filters (Pall Teflo<sup>TM</sup>-3.0 µm) and on aluminum substrates (MSP Corporation-aluminum foil impaction substrates, 2 mask, MDI-225). One half of each substrate was analyzed using evolved gas analysis (EGA) and the other half using ion chromatography (IC) and/or inductively coupled plasma (ICP) with optical emission spectroscopy (OES). Samples were collected during day and/or night for 12 or 24 hours. The sampling flow averaged 29 L min<sup>-1</sup> and the pressure 250 hPa. Sampled volumes averaged ~16 m³ and 36 m³, respectively, and these were converted to standard temperature (25 °C) and pressure (1000 hPa).

The apparent size range collected on each stage was calculated depending on the DLPI pressure and flow. However, size biases in the DLPI may result from the use of non-standard substrate materials. The reason for this is briefly described here. The DLPI size distributions measured using quartz fiber substrates differ from the nominal ones, since for the standard DLPI impactors the aerodynamic diameter calculated for each stage is calibrated using smooth and flat surfaces, which are quite different from the surface characteristics of the quartz fiber filters. The use of these filters introduces differences due to the different flow rates and sampling mechanism (i.e., impaction together with filtration) [Hitzenberger et al., 2004; Saarikoski et al., 2008]. Therefore, the D<sub>p</sub> values and the shape of the collection efficiency curves may change, as has been shown by Saarikoski et al. [2008]. As a result, the carbonaceous size distributions presented in this study are expected to be shifted toward larger apparent diameters when using quartz filters. More accurate DLPI size distributions could have been obtained by performing an inversion procedure (e.g., Bayesian inversion method [Ramachandran and Kandlikar, 1996], a bimodal lognormal function constructed by Dong et al. [2004], or a lognormal function for porous substrates suggested by Marjamäki et al. [2005]), but the experimental data necessary to apply this procedure (i.e., calibration of impactor stage collection efficiencies, and the mathematical model function) are not available for the DLPI.

Blanks were collected using quartz fiber and aluminum substrates on the DLPI sampler in the same way as real samples were taken, but with flow being applied only for about 5 seconds. It should be noted that 5 seconds is too short a time to represent passive vapor adsorption [Watson et al., 2009]. The quartz fiber filters were pre-baked at 600 °C for about 15 hours to remove residual organic impurities. After collecting the samples, they were stored in a freezer at -18 °C in Petrislide dishes (Millipore, 47 mm Petrislide, PD1504700) until analysis. Handling of filters was according to the procedures recommended by Salmon et al. [1998] and Mayol-Bracero et al. [2002a].

A complete assessment of corrections for positive artifacts (overestimation of carbonaceous particle concentration due to adsorption of organic gases to the quartz filters) and negative artifacts (underestimation of carbonaceous particles due to volatilization of semi-volatile organic carbon from the particles collected on the filters) was not possible in this study because our sampling systems did not provide for this. However, an estimation of the positive artifact for the DLPI was performed by comparing TC concentrations measured with other filter samplers (Sec 3.1.3). The positive artifact during SMOCC-2002 was determined with the HVDS. The OC was measured on the back filter placed in a tandem position. This back filter OC could come from both positive and negative artifacts [Mader et al., 2003]. However, it is generally assumed that the positive artifacts prevail [Turpin et al., 2000; Mader et al, 2003]; therefore, OC(front) – OC(back) is considered a measure for "artifact-free" particulate OC. On the other hand, we could assume that the positive artifacts are negligible and that the back filter OC is actually due to negative artifacts (OC that was volatilized from the front filter). If this was the case, OC(front) + OC(back) would be a measure for "artifact-free" particulate OC. One problem with the latter approach is that the OC that is lost (by volatilization) from the front filter may not be fully captured by the back filter. There are certainly better approaches to assess the extent of negative artifacts, as proposed by Eatough et al. [2003], but they were not used in SMOCC-2002.

In Section 3 we compare the results obtained with 1) the DLPI used by University of Puerto Rico (UPR), 2) a low-volume PM<sub>2.5</sub> filter sampler used by Ghent University (R2.5WW UGent), 3) three high-volume dichotomous samplers (HVDS), 4) a carbon monitor operated by the University of São Paulo (USP), and 5) a 7-wavelength aethalometer from USP [Hansen

et al., 1984], all located at FNS during the SMOCC-2002 experiment. Two of the HVDSs were used by UGent [Decesari et al., 2006] and the other by the Max Planck Institute for Chemistry (MPIC) [Hoffer et al., 2006b]. A brief description of these systems is presented below.

# 2.1.2 Low-volume PM2.5 filter sampler (R2.5WW) and High-Volume Dichotomous Samplers (HVDS)

The low-volume PM<sub>2.5</sub> filter sampler (R2.5WW) is a filter holder with 47-mm diameter filters; it was equipped with a Rupprecht & Patashnick PM<sub>2.5</sub> inlet and operated at a flow rate of 17 L min<sup>-1</sup>; the face velocity was 22 cm s<sup>-1</sup> and the air volume was measured with a calibrated gas meter. The HVDS is a system that separates bulk particles into fine ( $D_p < \sim 2.5 \mu m$ ) and coarse ( $D_p > \sim 2.5 \mu m$ ) [Solomon et al., 1983]. The filter diameter was 102 mm with a face velocity of about 86 cm s<sup>-1</sup> for a total average flow of about 330 L min<sup>-1</sup>. The sampling periods were 12 hours each during day time night time in the dry period. Samples were collected with quartz fiber filters (Whatman QM-A for the R2.5WW, Gelman Pall for the HVDS), prebaked for at least 10 h at 600 °C. In both the R2.5WW and the HVDS, two quartz fiber filters were placed in the filter holders in tandem in order to correct for the adsorption of gaseous organic compounds by the filter material (i.e., positive artifact).

#### 2.1.3 Carbon Monitor and Aethalometer

The carbon monitor (Model 5400, Rupprecht & Patashnick, Inc.) is an on-line instrument that collects ambient air particles by impaction in order to make hourly measurements of carbonaceous aerosol components (TC, OC, and EC<sub>a</sub>). The OC component is the carbon content burned off when a controlled combustion at 350 °C is applied. TC is obtained by combusting the aerosol at 700 °C, and EC<sub>a</sub> is derived as the difference between TC and OC [Artaxo et al., 2002]. Since aerosols were collected by impaction, particles smaller than 70 nm may not have been collected efficiently. Because the impaction surfaces were not coated (to avoid organic contamination), bounce-off from the impaction surface could have occurred for dry periods.

The aethalometer (AE30, Magee Scientific) is also an on-line device, used to measure equivalent black carbon (BC<sub>e</sub>). It was operated with a 5-min time resolution, and a specific absorption cross section of  $16.6 \text{ m}^2 \text{ g}^{-1}$  at 880 nm was used to convert optical absorption to BC<sub>e</sub>

[Park et al., 2006]. Absorption measurements from the aethalometer agreed well with Nuclepore filter based measurements using a calibrated black carbon standard [Martins et al., 1998a, 1998b]. Results from the aethalometer also agreed within 30% with a multi angle absorption photometer, taking into account the different wavelengths used [Hansen et al., 1984; Schmid et al., 2006]. In addition, the aethalometer results were subjected to artifact corrections for filter loading and multiple scattering given by Weingartner et al. [2003] and Schmid et al. [2006]. Briefly, from the equivalent black carbon mass concentration reported by the aethalometer and the specific absorption cross section [m<sup>2</sup> g<sup>-1</sup>] of  $14,625/\lambda$  [nm] (16.6 m<sup>2</sup> g<sup>-1</sup> for 880 nm) the attenuation coefficient  $\sigma_{ATN}$  was calculated using the equation  $\sigma_{ATN} = BC_e$  \* 16.6. The absorption coefficients  $\sigma_{abs}$  were obtained by applying corrections given in Weingartner et al. [2003]:  $\sigma_{abs} = \sigma_{ATN}/(C \cdot R(ATN))$  [Mm<sup>-1</sup>], were R(ATN) accounts for the reduction of the optical path length in the filter with increasing filter load and C corrects for the multiple scattering effects of the filter fibers. The filter loading correction equation used was: R=(1/f-1) (lnATN-ln10)/(ln50-ln10) + 1 [Weingartner et al., 2003], where f=1.2 during the SMOCC-2002 campaign [Schmid et al., 2006]. The equation for the wavelengthdependent multiple scattering  $C_x/C_{x0} = \lambda^{-0.1178 \ln(\frac{1}{x}/\text{nm}) + 1.982}/\lambda_0^{-0.1178 \ln(532) + 1.982}$  was used, where C at 880 nm is equal to 6.54 [Schmid et al., 2006]. After applying the corrections, corrected BC<sub>e</sub> was calculated using the absorption cross section  $\alpha_{abs}$  of soot carbon at 880 nm (4127/ $\lambda$  $[m^2 g^{-1}] = 4.69$  at 880 nm) as follows:  $BC_{e corr} = \sigma_{abs} * 1000 / \alpha_{abs}$ . Concentrations were not corrected for the sampling spot size and changes in flow rate of the instrument [Müller et al., 2011]. These corrections are likely to be small in comparison to the other uncertainties.

### 2.2 Aerosol Mass Concentration

The mass collected on the aluminum and Teflon substrates was determined by gravimetric analysis, which involved weighing the substrates before and after sampling using a Mettler MT5 microbalance (1 µg sensitivity) in a room with stabilized temperature (20 °C) and relative humidity (50%). For more details see Hitzenberger et al. [2004].

#### 2.3 Chemical Analyses

The analyses presented here are for the bulk and the size-resolved concentrations of carbonaceous components. These concentrations were determined for sections of the low-volume fil-

ter sampler, HVDS, DLPI quartz filters and the aluminum substrates, using thermal, thermal-optical transmission (TOT) and reflectance (TOR) methods, thermal-optical analysis (TOA), and a light transmission method (LTM). Results from IC and ICP analyses of the inorganic fraction were also included.

# 2.3.1 Thermal and Thermal-Optical Analysis

A thermal analysis method (evolved gas analysis (EGA)) similar to that described by Novakov [1981] and Kirchstetter et al. [2001] was used at Lawrence Berkeley National Laboratory (LBNL) and MPIC to characterize TC, EC<sub>a</sub> and OC. Segments of 0.55 or 1.8 cm<sup>2</sup> were taken from the 3.63 cm<sup>2</sup> exposed area of a 25 mm diameter quartz filter and heated in an oxygen atmosphere at a rate of ~20°C per min from 50°C to ~700°C. The evolved carbon was converted to CO<sub>2</sub> over a Pt-coated ceramic (at LBNL) or MnO<sub>2</sub> (at MPIC) catalyst (at 800°C) and measured by a non-dispersive infrared analyzer. Evolved carbon (as CO<sub>2</sub> concentration) was plotted as a function of temperature (i.e., a thermogram). Thermogram peaks indicate carbon volatilization, decomposition, and combustion. The area under the whole thermogram is proportional to the mass of sampled TC. We defined the "apparent elemental carbon" (EC<sub>a</sub>) as the portion of the sample evolving above 400°C. OC was calculated using the equation  $OC = TC - EC_a$ .

To refine the estimate of EC<sub>a</sub>, and consequently OC, the intensity of light (at 572 nm) transmitted through the sample was monitored during the thermal analysis. Here we refer to the combination of the thermal and light transmission methods as thermal-optical analysis (TOA). The 572-nm light was generated with a light emitting diode, and the intensity transmitted through the samples was measured with a spectrometer. For additional details see Kirchstetter and Novakov [2007].

Portions of some samples were soaked in 10-15 mL of ultrapurified water for 30 min to extract water-soluble organics and then dried under an infrared lamp prior to analysis.

The thermal method was quantitative for TC to within ~10%, with a reproducibility of 5%, and a detection limit of ~0.2  $\mu$ g per sample [Dod et al., 1979; Gundel et al., 1984]. The precision or coefficient of variation (CV) for this method was 4, 6, 4, and 3% for TC, EC<sub>a</sub>, OC, and the EC<sub>a</sub>/OC ratio, respectively [Mayol-Bracero et al., 2002b].

### 2.3.2 Thermal optical reflectance (TOR) and transmission (TOT) method

IMPROVE-TOR and IMPROVE-TOT were used at DRI and NIOSH-TOT at the UGent to determine the concentration of TC and EC<sub>a</sub>. These techniques have been described elsewhere [Chow et al., 1993; Birch and Cary, 1996; Peterson and Richards, 2002; Chen et al., 2004]. Briefly, a small sample segment of the filter is taken from a quartz-fiber filter and analyzed. The carbon evolved from the filter is measured with a thermal-optical analyzer, using two heating temperature profiles, one with 100% He and the other with 98% He/2% O<sub>2</sub>. The evolving carbon is oxidized to CO<sub>2</sub>, the CO<sub>2</sub> is reduced to CH<sub>4</sub>, and the CH<sub>4</sub> is measured using a flame ionization detector. Both methods (TOT and TOR) have a He/Ne laser beam (at 632 nm) that is directed on the filter and the direct forward (TOT) and/or backward (TOR) scattering of the radiation is detected by photo-detectors to measure reflectance and transmittance throughout the analysis. This arrangement is used for the correction of the charring effect.

# 2.3.3 Light Transmission Method (LTM)

Samples collected on quartz fiber filters were analyzed using the light transmission method (LTM) [Kirchstetter et al., 2004]. Light attenuation (ATN) was calculated from light transmission through the sample (T):  $ATN = 100 \cdot ln(1/T)$ . In this study, T was defined as ( $I_s/I_{s,o}$ ) · ( $I_{r,o}/I_r$ ), where  $I_s$  and  $I_{s,o}$  are the measured intensities of light transmitted through a quartz fiber filter sample prior to and after removal of carbonaceous material by heating to 700 °C in oxygen, and  $I_r$  and  $I_{r,o}$  are the intensities of light transmitted through a reference quartz filter measured at the same time as  $I_s$  and  $I_{s,o}$ . The intensities  $I_s$  and  $I_{s,o}$  were measured using the same quartz filter rather than another blank, because light transmission through quartz fiber filters is variable. Measuring  $I_{s,o}$  on the same filter also automatically corrects for the absorption due to mineral dust, because light-absorbing dust is not removed during sample heating. In this study, we only wanted to measure aerosol ATN due to carbonaceous material, and not mineral dust.

The reference filter was used to correct for possible instrumental variability such as changes in the brightness of the light source or detector response during the interval between measurements of  $I_s$  and  $I_{s,o}$ . The uncertainty of each measurement when using the LTM was  $\sim \pm 2$  units of ATN.

Mass concentrations of BC<sub>e</sub> at 880 nm were estimated from LTM data, following the method used for the aethalometer [Hansen et al. 1984]:  $BC_e = ATN/\sigma$ , where  $\sigma$  is the specific attenuation (or specific absorption cross section) for BC and ATN is light attenuation at 880 nm by particles on the quartz fiber filters. In order to be able to compare BC<sub>e</sub> concentration obtained from the LTM and the aethalometer, a specific absorption cross section of 16.6 m<sup>2</sup> g<sup>-1</sup> was used for both instruments.

# 2.3.4 Ion Chromatography (IC) and Inductively Coupled Plasma (ICP)

Mass concentrations of inorganic species were determined using IC and ICP analysis. Water-soluble ions were determined using isocratic suppressed IC with conductivity detection (DI-ONEX, Sunnyvale, Ca). The anionic species measured were Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, and NO<sub>3</sub><sup>-</sup>, and the cationic species were NH<sub>4</sub><sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, and Na<sup>+</sup>. Filters and substrates were cut in halves and extracted with methanol and/or ultrapure water. ICP together with optical emission spectroscopy (OES) was also used for the determination of trace elements, such as Al, B, Ca, Fe, K, Mg, Mn, Na, Si, and Zn, in the extracted water from the filters and substrates.

#### 3 Results and Discussion

Concentrations of carbonaceous species determined by thermal, thermal-optical, and optical analyses are reported in Table 1. From samples analyzed thermally we estimated TC and EC<sub>a</sub> concentrations. Samples extracted with water and analyzed using TOA (DLPI #11, 13, and 15) provided TC and EC<sub>w</sub> (EC from these analyses is called EC<sub>w572nm</sub>) concentrations. Samples analyzed by the light transmission method (LTM) (DLPI #3, 11, 12, 13, 15, and 25) provided BC<sub>e</sub> at 880 nm (BC<sub>e880nm</sub>). Below we explain how these different carbonaceous components were determined.

#### 3.1 Estimation of the Carbonaceous Material

#### 3.1.1 Mass and Total Carbon Concentrations

Particle mass concentrations for the fine ( $D_p$  < 2.5  $\mu$ m) and coarse ( $D_p$  >2.5  $\mu$ m) fractions were determined gravimetrically from samples collected on aluminum and Teflon substrates. The average fine particle mass concentration for the dry period from 7 September to 7 Octo-

ber was 60  $\mu$ g m<sup>-3</sup> (with a standard deviation (STDEV) of  $\pm$  41  $\mu$ g m<sup>-3</sup>), consistent with elevated concentrations reported by Fuzzi et al. [2007]. These high concentrations were due to the huge burning areas upwind over which the sampled air masses had traveled for several days, and due to the dynamics of the boundary layer [Fuzzi et al., 2007; Rissler et al., 2006]. During the transition period from 7 October to 1 November and the wet period in the first four days of November, the average concentrations of the fine fraction were 15 ( $\pm$  12)  $\mu$ g m<sup>-3</sup> and 4 ( $\pm$  2)  $\mu$ g m<sup>-3</sup>, respectively. The average coarse particle concentrations were relatively constant at 4 ( $\pm$  2)  $\mu$ g m<sup>-3</sup> during the entire period.

TC concentrations in the fine aerosol fraction ranged from 24 to 64  $\mu g$  m<sup>-3</sup> and averaged 44  $\mu g$  m<sup>-3</sup> (Table 1). These concentrations are within the range of values observed for bulk analyses in a previous campaign at the same site during the dry season, where TC ranged between 4.4 and 83  $\mu g$  m<sup>-3</sup> [Graham et al., 2002; Mayol-Bracero et al., 2002a]. Similar concentrations were observed in the results from other filter sampling systems during SMOCC-2002, with concentrations ranging from 6.4 to 78.2  $\mu g$  m<sup>-3</sup> (average 32.7  $\mu g$  m<sup>-3</sup>, HVDS<sub>UGent</sub>) and 24.9 to 90.9  $\mu g$  m<sup>-3</sup> (average 51.7  $\mu g$  m<sup>-3</sup> HVDS<sub>MPIC</sub>) [Decesari et al., 2006], showing ~30% difference from our average TC value.

The average size-resolved concentrations for total particulate mass and TC are presented in Figure 1. Both size distributions were bimodal, peaking in the accumulation  $(0.4 - 0.6 \,\mu\text{m})$  and coarse modes  $(1.6 - 2.4 \,\mu\text{m})$ . The average TC/PM ratios from the aluminum substrate samples for the fine and coarse fractions were  $0.56 \pm 0.08$  and  $0.31 \pm 0.09$ , respectively. These results indicate that the carbon content was higher in the fine aerosol fraction than in the coarse fraction. As suggested previously, biogenic and inorganic crustal material might be found in the coarse particles [Fuzzi et al., 2007].

We do not follow this discussion directly with the results of the EC/BC and OC size distributions, because in order to present the best possible EC/BC and OC concentrations we must first evaluate the possible methods to measure these species and determine the most appropriate one. This evaluation is performed in the following sections, and at the very end of the discussion of the results, the size distributions of EC/BC and OC are presented.

# 3.1.2 Light Absorbing Carbon

Figure 2 presents the size-resolved thermograms from aerosols collected during SMOCC-2002 that were dominated by biomass burning emissions. The thermograms generally had two

or three different peaks: those below 400 °C were attributed to OC and the peak above 400°C corresponded to ECa, the most refractory material. Concentrations of ECa averaged 18 ( $\pm$  9) µg m<sup>-3</sup> in the fine particle mode (Dp < 2.5 µm). Uncertainties exist in the determination of ECa, especially for biomass burning aerosols [Pöschl, 2003; Hoffer et al., 2006a; Hoffer et al., 2006b; Andreae and Gelencsér, 2006]. During the thermal analysis of biomass aerosols, some OC in the aerosol surface deposit and in organic vapors adsorbed throughout the filter turns into char as it undergoes pyrolysis; this char co-evolves with EC. Also, some highly refractory OC in biomass aerosols co-evolves with EC and might be indistinguishable from it [Hoffer et al., 2006b].

In this study, we examined how optical and solvent extraction techniques can be used in addition to thermal analysis to obtain a better estimation of EC in biomass aerosol samples. Figure 3 shows the thermograms from DLPI#11-stage 4 (nominal  $D_p$  from 0.2-0.3  $\mu$ m) before and after extracting with water. Water extraction removed large quantities of OC (~60% for the fine fraction), and the amount of EC<sub>a</sub> lost was ~ 50%. For similar samples, Mayol-Bracero et al. [2002] found that on average 53% of the EC<sub>a</sub> was removed by water extraction. The reduction in EC<sub>a</sub> was due to the removal of water-soluble organic compounds and/or mechanical dislodging of insoluble EC<sub>a</sub>. Water extraction resulted in a shift in the evolution of the most refractory material to higher temperatures (the last peak appeared at ~450 °C before water extraction and at ~520 °C after water extraction), most likely because of the removal of water-soluble ions such as K<sup>+</sup> and Na<sup>+</sup> that catalyze the combustion of EC<sub>a</sub> [Novakov and Corrigan, 1995; Mayol-Bracero et al., 2002a].

Changes in embedded soot morphology may also have played a role in altering the optical properties of material in the filter after the extraction with water. Also, after extraction with water; the single peak above 450° split into two peaks, at ~470 °C and 520 °C. This was observed for all samples with  $D_p < 1.6 \ \mu m$ . These two peaks have not been reported before and might have been due to different classes of highly refractory carbonaceous material.

Optical characterization during thermal analysis was also used to determine EC concentrations more accurately. Figure 3 shows light attenuation (at 572 nm) by sample DLPI#11-stage 4 (nominal  $D_p$  from 0.2-0.3  $\mu$ m) throughout the thermal analysis, before (EC<sub>a572nm</sub>) and after the water extraction (EC<sub>w572nm</sub>). The point of zero attenuation was used to define the split between OC and EC<sub>a572nm</sub> and/or EC<sub>w572nm</sub>. The formation of char from OC pyrolyzed in the unextracted sample is evident from the increased attenuation. Relatively little charring was ob-

served in the water extracted sample. Thus, the water-soluble material, which can be removed by the extraction technique, was responsible for most of the charring. Concentrations of  $EC_{a572nm}$  and  $EC_{w572nm}$  were averaged for three samples, and were  $5 \pm 1$  and  $3 \pm 1$   $\mu g$  m<sup>-3</sup>, respectively.  $BC_e$  concentrations ( $D_p < 2.5 \mu m$ ) ( $BC_{e880nm}$ ) were estimated using LTM only (i.e., not in combination with thermal analysis, at  $\sigma$  (specific attenuation) =  $16.6 \text{ m}^2 \text{ g}^{-1}$ ) and were  $3.6 (\pm 0.9) \mu g \text{ m}^{-3}$ .

# 3.1.3 Estimating the Positive Artifact

The determination of the sampling artifacts (postive and negative), related to the adsorption of volatile organic compounds (VOCs) and the adsorption or losses of semi-volatile organic compounds (SVOC), is important to obtain reliable concentrations of the carbonaceous aero-sol fraction. As explained in Section 2.1.1, positive artifacts were estimated by comparing TC measurements from the DLPI with those from several other systems (R2.5WW, Carbon Monitor, and HVDS) used in this study (see also Table 2).

TC concentrations collected on all DLPI stages with  $D_p$  < 2.5  $\mu$ m were summed in order to compare with the bulk filter systems (Figure 4a). The regression for the entire dry season between the DLPI and the R2.5WW systems was: TC (DLPI) = 1.06\*TC (R2.5WW) + 4.4,  $r^2$  = 0.89; n=8 (concentrations in  $\mu$ g m<sup>-3</sup>; standard error of the slope:  $\pm$  0.36). This result indicates an overestimation for the impactor sampling (using only quartz fiber filters as substrates) that could be attributed to the positive artifact of VOCs and SVOCs. In comparison, the positive artifact averaged for the HVDS (UGent) was 5.2% (back filter to front filter OC concentration ratio of 0.052  $\pm$  0.055).

TC concentrations for the DLPI were higher than for the other samplers for the majority of day time samples (Figure 4b and Table 2). A DLPI system with quartz fiber filters may overestimate OC between ~15% (night time) and ~30% (day time) due to absorption of the SVOCs emitted during biomass burning. This behavior is expected, since there are at least as much volatile organic gases as aerosols emitted during biomass combustion [Robinson et al. 2007], and these gases might be collected by filter samplers, especially when using quartz fiber filters. Differences between day and night can also be explained because the gas-particle equilibrium moves towards the gas phase during the day due to the higher temperatures [Trebs et al., 2005].

In addition, it is important to state that some of the carbonaceous material observed in the DLPI might come also from a redistribution of semi-volatile organic species across different filter/impactor stages (negative artifact), and not only from the adsorption of gases (positive artifact). Also, the differences (3 to 6 times higher) found between the positive artifacts in the HVDS and the DLPI might have been due to accuracy of the volume measurement in the DLPI, the higher losses of organic compounds from the upper stages (coarse particles) to the lower ones (fine particles) in the DLPI due to the low pressure (much lower than the HVDS) it uses and, also a small contribution from the high face velocity in the impactors.

# 3.1.4 Estimating the EC and BC Concentrations

A problem for the determination of  $EC_a$  or  $BC_e$  on cascade impactor samples is the fact that deposits are usually not uniform on the sampling substrates. In Figure 5 we compare the EC ( $EC_a$  and  $EC_w$ ) and  $BC_e$  concentrations measured by different methods and techniques. The carbon monitor usually had concentrations more than three times higher than the other systems. With this system,  $EC_a$  was defined as the carbon content oxidized above 350 °C [Artaxo et al., 2002], and most likely included significant amounts of OC.

The EC<sub>a</sub> measured with the thermal optical transmittance (TOT) system for both HVDS samplers from UGent was more than three times lower than the EC<sub>w</sub> for all the other systems. These TOT measurements are so much lower than those from all other techniques because the temperature program used in the TOT analysis (NIOSH standard temperature program) [Schmid et al., 2001] can affect the definition of the OC and EC<sub>a</sub> split [Sciare et al., 2008, Chow et al., 2001, 2004, Schmid et al., 2001; Birch and Cary, 1996; NIOSH, 1996, 1999]. Some additional insight comes from a comparison of the TOT(UGent) measurements with analyses of an additional sample set from SMOCC-2002, where the TOT technique was used in parallel with the IMPROVE thermal-optical reflectance (TOR) technique [Chow et al., 2004]. The data in Table 3 show that for the TC measurements, which are identical for the TOR(DRI) and TOT(UGent) techniques, there was good agreement between the two laboratories. However, the EC<sub>a</sub> measurements by TOR are always much higher than those by TOT. This also applies to the TOT and TOR results by the Desert Research Institute (DRI) lab, where the TOR values are about a factor of two higher than the TOT values (excluding two outliers), even though they were obtained in the same runs and with the same temperature programs. The differences between the TOR and TOT results have been attributed to charring within the filter matrix, which leads to correction artifacts in TOT, but not in TOR [Chow et

al., 2004]. The even larger difference between the TOT EC<sub>a</sub> values by UGent and the TOR measurements by DRI, which were on average 3.7 times higher, probably results from the use of a different instrument, different temperature calibration methods [Chow et al., 2005], and different temperature programs in addition to the TOR/TOT differences. Unfortunately, the sample set analyzed at DRI did not overlap with the impactor sampling periods, making a direct comparison impossible.

Results from the aethalometer after correcting for the filter loading and multiple scattering for BC<sub>e</sub> at 880 nm were  $\sim$  40% lower than the values reported from the aethalometer without corrections (average of 1.9  $\pm$  1.0  $\mu g$  m<sup>-3</sup> with corrections, compared to 3.3  $\pm$  1.7  $\mu g$  m<sup>-3</sup> without). The BC<sub>e</sub> concentrations obtained by summing the values obtained on the DLPI stages with the LTM (average 3.6  $\pm$  0.9  $\mu g$  m<sup>-3</sup>) were comparable to the uncorrected BC<sub>e</sub> concentrations measured with the aethalometer. Correction factors analogous to the Weingartner corrections for the aethalometer are not available for the LTM, unfortunately.

The  $EC_{w}$ \_HVDS (MPIC) measured using the EGA, after extraction with water, shows a high standard deviation which does not allow comparison with the other systems. Results from the LTM, TOR and TOA (after extracting with water and correcting for charring using 572 nm) were similar for  $BC_{e}$  and  $EC_{w}$ .

To help determine the source of the EC<sub>a</sub> and BC<sub>e</sub>, we plotted their concentrations as a function of TC concentrations for the fine fraction (sum of impactor stages with nominal  $D_p < 2.5 \mu m$ ). Figures 6a and 6b show a good correlation of EC<sub>a</sub>, EC<sub>a572nm</sub>, EC<sub>w572nm</sub> and BC<sub>e</sub> with TC ( $r^2 \sim 0.96, 0.99, 0.75$  and 0.81, respectively), suggesting that carbonaceous aerosols were from biomass burning. Also, the strong correlation ( $r^2 \sim 0.99$ ) of BC<sub>e</sub> with potassium, a tracer of biomass burning [Andreae et al., 1983], makes it clear that biomass burning is the dominant aerosol source. This was expected for the dry season since there were many fires in the region [Fuzzi et al., 2007]. The EC<sub>a</sub>, EC<sub>w</sub>, and BC<sub>e</sub>/TC mass ratios per stage for each sample are given in Table 1.

The EC<sub>a</sub>/TC slope in Figure 6a shows an unrealistically high value of 0.51. This ratio is much higher than what has been previously reported for biomass burning samples in the same location [Mayol-Bracero et al., 2002a], and suggests that EC<sub>a</sub> was strongly overestimated due to the presence of a large amount of refractory water-soluble material or OC char [Mayol-Bracero et al., 2002a; Hadley et al., 2008]. Our results in Figure 6a and 6b for EC<sub>a572nm</sub>/TC, EC<sub>w572nm</sub>/TC, and BC<sub>e</sub>/TC are 0.08, 0.07, and 0.04, respectively. These values are similar to

those from a TOT method at the same site: 0.05 [Guyon et al., 2003]; a two-step thermal analysis from Brazilian cerrado, grass, and forest fires: 0.08 (smoldering) [Ferek et al., 1998], and the values mentioned in the review by Reid et al. [2005], showing that smoke particles were 5-10% BC by mass. Decesari et al. [2006] reported EC<sub>w</sub> values of 7-19% of TC for the dry season during the SMOCC-2002 campaign. On the other hand, our EC<sub>w</sub> were about three times higher than those measured by the UGent TOT analysis, suggesting that the latter represent an underestimation due to the use of the NIOSH protocol by the Gent group. Our values from the TOA and LTM methods indicated that 4-8% of the total carbon was EC<sub>a</sub> (BC<sub>e</sub>). These results indicated that smoke particles were originating more from the smoldering phase of forest fires, as previously suggested by Mayol-Bracero et al. [2002a] and Reid et al. [2005]. Also, the addition of secondary organic aerosol could have led to lower EC<sub>a</sub>/TC ratios and masked the nature of the fires responsible for the ECa emissions. However, aircraft and ground-based measurements of CO-to-CO<sub>2</sub> emission ratios during SMOCC-2002 and other campaigns have shown that smoldering combustion, which releases aerosols with low EC<sub>a</sub>/TC ratios, makes a large contribution to the emissions from deforestation fires, especially when sampled at ground level [Guyon et al., 2005; Yokelson et al., 2008].

In summary, our results show that all the methods used during SMOCC-2002 obtained reasonably comparable values for TC, with a modest overestimation in the impactor samples, probably due to the adsorption of organic vapors (positive artifact). Large discrepancies were found between the various techniques used to determine ECa and BCe. In particular, the semicontinuous R&P Carbon Monitor showed excessively high values, probably due to a low OC/EC cutoff temperature that led to the inclusion of a significant amount of OC in the EC<sub>a</sub> fraction. The EC<sub>a</sub> analysis of the impactor samples without water extraction or optical correction also gave unrealistically high values. On the other hand, the TOT instrument using the NIOSH temperature program yielded very low values, possibly because of overcompensation of charring artifacts. Nevertheless, the IMPROVE-TOR and NIOSH-TOT provide bounds for EC measurements and the ranges in concentrations are larger for some samples than others, depending on their charring potential and the extent of brown carbon. For example, brown carbon could be elevated and the charring substantial for smoldering-dominated samples [Chen et al., 2010; Chakrabarty et al., 2010; Cheng et al., 2011]. The NIOSH-TOT likely attributes more of the char and the brown carbon to OC than IMPROVE-TOR does, which may attribute these components more to EC<sub>a</sub>. The optical (BC<sub>e</sub>) and the thermal methods with optical correction or water extraction gave mutually and internally consistent results with  $EC_a/TC$  or  $BC_e/TC$  ratios in the 0.04-0.08 range.

# 3.2. Absorption Spectral Dependence

The spectral dependence of the light attenuation was determined from optical data obtained during thermal analysis (see section 2.3.1). Figure 3b shows the spectral absorption of the smoke aerosol sample from Figure 3a at 100°C (see dashed line), before the evolution of any significant amount of carbon. The absorption Ångström exponent,  $\alpha$ , was estimated by fitting a power law to the data:  $\sigma(\lambda) = K \lambda^{-\alpha}$ , where  $\sigma(\lambda)$  is the spectrally dependent attenuation, K is a constant,  $\lambda$  is the light wavelength. The value of  $\alpha$  is a measure of the strength of the spectral variation in aerosol light absorption. The absorption Ångström exponent was ~3.7 for our untreated and ~ 2.2 for our water-extracted biomass burning aerosol samples (Figure 3b). In contrast, motor vehicle generated aerosols, in which the only light absorbing species is BC<sub>e</sub>, typically exhibit  $\alpha$ ~1.0 [Kirchstetter et al. 2004]. The stronger spectral dependence of our biomass smoke samples was due to the presence of light absorbing OC (Brown Carbon, BrC) in addition to soot carbon [Schnaiter et al., 2003; Kirchstetter et al. 2004].

Water treatment removed much, but not all, of the sample OC that evolves below 400 °C (Figure 3a). Similarly, the extraction with water greatly diminished the spectral dependence of the samples, but did not reduce it to ~1.0, as shown in Table 4. This table contains the absorption Ångström exponent results for the three samples that were measured by TOA (nominal  $D_p$  between 0.1-2.4  $\mu$ m (stages 3-9)). The averages were between 1.8 and 3.9 for the non-extracted samples, and between 0.7 and 1.6 for the extracted samples. When the ratios of E-C<sub>a</sub>/TC and EC<sub>w</sub>/TC at different sizes (0.5- 1.6  $\mu$ m) were compared with the Ångström exponents ( $\alpha$  and  $\alpha_w$ ) in Table 4, the stages with higher EC<sub>w</sub> fraction ( $D_p$  of 0.2 to 0.6  $\mu$ m) showed higher Ångström exponents (EC<sub>w</sub>/TC = 0.09· $\alpha_w$ – 0.04 and  $r^2$  = 0.94, excluding one outlier). This was only observed for samples that were extracted with water and suggests that this behavior is probably due to the brown carbon left after the extraction process.

# 3.3 Contribution of the Carbonaceous Material to the Total Aerosol Mass

To determine the contribution of OC and  $BC_e$  (our approximation of soot carbon,  $C_{soot}$ ) to the total aerosol mass, we chose the  $BC_e$  concentrations determined by the LTM as the best representation, since they were the most consistent with other methods (Sec 3.1.4), and because there were more samples analyzed by this method than by the other techniques (Table 1).

Our OC concentrations averaged  $43 \pm 18~\mu g~m^{-3}$  (Table 1), accounting for ~100% of the carbonaceous aerosol in our samples. This result is not corrected for the positive artifact observed when using the DLPI (Figure 4a). For the carbonaceous fraction, the OC was estimated from the difference between TC and BC<sub>e</sub> [TC (Table 2:  $33.6 \pm 31.7~\mu g~m^{-3}$ ) - BC<sub>e</sub> (Table 1:  $3.6 \pm 0.9~\mu g~m^{-3}$ )] and converted to particle organic matter (POM) by using 1.8 as the conversion factor. This is a conservative OC conversion factor since aerosol heavily influenced by wood smoke can have conversion factors as high as 2.6 [Turpin and Lim, 2001]. The inorganic fraction ( $5.7 \pm 4.6~\mu g~m^{-3}$ ) was similar to the ones reported by Falkovich et al. [2005] and Fuzzi et al. [2007]. It is important to state that the samples used here to obtain the average inorganic fraction (n = 4) and total aerosol mass [n = 6; ( $63.9 \pm 56.6~\mu g~m^{-3}$ )] were from aluminum substrates only.

Our results show that from the total estimated mass collected during the dry season, 84% was POM, 6% was the estimated BC<sub>e</sub>, and 9% was the inorganic fraction. The residual mass was not significant. Comparing the measured gravimetric mass for  $D_p$  <2.5  $\mu$ m to the mass reconstructed from measured species in our samples yields a closure within experimental uncertainties, indicating that for our sampling site the use of a conversion factor of 1.8 is appropriate for the conversion of OC to POM.

# 3.4 Size-resolved BC<sub>e</sub> and POM

Figure 7 shows a comparison between TC concentrations collected with aluminum substrates and quartz fiber filters on the DLPI impactors. An exact comparison between the two DLPI substrates was not possible during this study, because sampling on quartz and aluminum could not be done simultaneously. However, when all TC size distributions collected during the dry period on the aluminum substrates (n=7) and on the quartz fiber filters (n=13) were compared, the TC concentrations for  $D_p > \sim 0.4~\mu m$  on quartz fiber filters were higher (by factors of 1.7 to 4.1) than the TC concentrations for the aluminum substrates. In other words, for aluminum TC is higher in fine diameters stages and lower on the coarse ones. Figure 7 shows that the

size shift is less than one stage ( $\sim 0.2~\mu m$  for stages between 0.6-1.0  $\mu m$ ), i.e., significantly less than a factor of 2. Also, even though there is a shift in size when using quartz filters, the shape of the size distribution does not differ between the data sets from the two substrates.

The size distributions for the carbonaceous fractions (OC and BC<sub>e</sub>) are presented in Figure 8. Results show a bimodal distribution for BC<sub>e</sub>, with a fine mode at D<sub>p</sub> 0.4-0.6  $\mu$ m and a small coarse mode at 6.7-10  $\mu$ m. The BC<sub>e</sub> in the coarse mode was probably due to an internal mixture of BC<sub>e</sub> and other coarse mode particles, to the absorption of light by large particles (e.g., primary biogenic aerosols) [Guyon et al., 2004], and possibly also to a small contribution by semi-volatile adsorbed species to the absorption signal. The size distributions in Figure 8 clearly show a bimodal pattern for OC with peaks in the submicron (D<sub>p</sub> = 0.4-0.6  $\mu$ m) and supermicron (D<sub>p</sub> = 2.4-4  $\mu$ m) size range. The size distribution of BC<sub>e</sub> was different from OC in the supermicron size, in part because the OC coarse mode may have been derived from plant debris, plant pollen and fungal spores, as suggested also by Fuzzi et al. [2007] for the size-resolved organic tracers of biogenic sources; or due to an internal mixture of BC<sub>e</sub> with primary and secondary organic aerosols.

The similar shape of the profile of the accumulation mode for both OC and BC<sub>e</sub> (Figure 8) suggests that both species come from biomass burning. Previous studies have shown that the carbonaceous material (OC and EC) emitted from different sources showed an accumulation mode at 0.1  $\mu$ m for EC and 0.1 – 0.3  $\mu$ m for OC from diesel [Kerminen et al., 1997], 0.1 – 0.2  $\mu$ m for POM and EC from cars [Kleeman et al. 2000], at 0.2 – 0.3  $\mu$ m in the volume size distribution from fresh biomass burning, and at 0.3 – 0.4  $\mu$ m in the volume size distribution from aged smoke [Reid et al., 2005]. The submicron size range we are reporting here is usually called the droplet mode (0.4 – 0.6  $\mu$ m). However, this can also be due to the uncertainties in particle size when using quartz fiber filters as substrates in an impactor.

Figure 9a demonstrates that OC mass concentrations were higher during the night. This increase in the fine fraction during night was mainly due to continued emissions into the shallow nocturnal boundary layer [Rissler et al., 2006], to the condensation of volatile compounds into the aerosol phase at the lower temperatures (< 25 °C), and to high nocturnal relative humidity (~100%) [Trebs et al., 2005]. OC enrichment was also observed in the size-resolved low-molecular-weight WSOC fractions measured by Falkovich et al. [2005] and Fuzzi et al. [2007].

Observation of higher concentrations for all stages below 0.39 µm (Figure 9a) may have been due to adsorption of gases (positive and negative artifacts) on the quartz filter for those particular sizes and especially during daytime. The OC gases could have been derived from the volatilization of POM on the coarser stages in the course of the actual sampling because of the higher temperatures during the day. Also, there may simply not be much very fine POM during the night because it may have grown to larger particles at the much higher RH during the night. Fine POM during the dry and transition periods was around 60-70% soluble in water [Decesari et al., ACP, 2006]. Thus, the POM can grow to larger sizes at high RH, as encountered during the night.

However, Figure 9b shows that the fine mode mass of BC<sub>e</sub> during day time was almost the same as during night time, suggesting that, at least for this sample period, only little soot carbon is emitted at night. Nevertheless, observations of diurnal samples from the aethalometer and EC<sub>a</sub> concentrations from HVDS reported by Decesari et al. [2006] show the same behavior as for the OC concentrations. The size distributions of both OC and BC<sub>e</sub> are shifted slightly towards larger sizes at night, possibly due to some condensation of organic and inorganic constituents, as well as some water uptake.

In order to assess the role of water uptake on the sizing of our DLPI samples, we use the growth factors measured by Rissler et al. [2006]. During the dry season it was found that the non-hygroscopic mode dominates, and we can assume that a particle growth factor, GF(80%), of 1.10 to 1.15 is representative of the total aerosol. For the average daytime RH of 70%, the wet diameters are thus about 4% greater than the dry diameters. At night, it becomes very difficult to provide an accurate estimate, because humidities can exceed 90%, and the growth becomes very steep in the RH region between 90 and 100%. To get an idea of the range to be expected, the growth of a particle at 90, 95, and 100% has been calculated. If a 100 nm particle is assumed, a hygroscopicity coefficient of  $\kappa = 0.04$  is sufficient to obtain a GF (90%) = 1.09. This would represent a lower limit for the particle hygroscopicity. The GF at 95 and 100% for these particles would be 1.16 and 1.59. If, on the other hand, a 500 nm particle with a  $\kappa = 0.2$  (which has been observed for aged smoke) is assumed, we get GF = 1.4, 1.7, and 6.9 for 90, 95, and 100%. In conclusion, the GF becomes very strongly dependent of RH, κ, and particle size as one approaches 100% RH. For the impactor, this means that the smallest particles are going to be deposited mostly on the same stage even at 100%, while the largest ( $D_{\text{p}}$  < 4 μm) and most hygroscopic particles can move up as much as two stages. To have more precise results would require very accurate knowledge of the hygroscopicity in the accumulation mode, and of the RH in the impactor, neither of which were measured. Nevertheless, the effect of water uptake during the day is small relative to the size resolution of the impactor, while the effect during the night is not possible to estimate accurately enough with the data we have, but could easily be large enough to explain the observed shifts.

# **4 Conclusions**

In this study, as part of the LBA-SMOCC-2002 field experiment, we determined the carbonaceous fraction in aerosol samples dominated by biomass burning, using different analytical methods. Results from thermal, thermal-optical (TOA), and optical techniques applied to water-extracted and non-extracted samples showed that most of the aerosol mass was due to carbonaceous material, and that the fine fraction was greater than the coarse fraction. TOA showed that the WSOC fraction was at least partly pyrolyzed during the analysis. Also, for specific sizes ( $D_p$ : 0.2-0.3 and 0.4-0.6  $\mu$ m), TOA showed the existence of residual OC on water extracted samples. This contradicts the assumption sometimes made for analysis of aerosols from biomass burning sources that only EC remains after water extraction.

Different techniques commonly used for determining  $EC_a$  produced different results, demonstrating the difficulties of separating EC from OC in biomass burning samples. Combustion of biomass produces  $EC_a$  and refractory OC with similar thermal, oxidative and optical characteristics.

Different temperature programs showed significant differences for the OC/  $EC_a$  split. For example, measurements with the semi-continuous R&P carbon monitor yielded  $EC_a$  concentrations that were more than three times higher than for most other systems. In contrast, the NIOSH TOT method gave  $EC_a$  concentrations that were more than three times lower than most other systems.  $EC_a$  and  $BC_e$  contributed 4 to 8% to the total mass concentration. The POM contribution was more than 85%.

TC and POM mass-size distributions were bimodal with the submicron fraction significantly larger than the coarse fraction. BC<sub>e</sub> showed the expected mode in the submicron size and a mode in the coarse size, possibly due to an internal mixture of BC<sub>e</sub> and other coarse particles, which requires further study. Diurnal variations of OC were also observed. The different diur-

nal behavior of the OC and BC<sub>e</sub> size distributions is related to differences caused by variations in temperature, relative humidity, and the depth of the boundary layer.

We conclude that LTM, IMPROVE-TOR, and TOA together with water-extraction provided comparable estimates of  $EC_a$  and  $BC_e$  concentrations and size distributions of aerosols dominated by biomass burning in the smoldering phase, whereas NIOSH-TOT provided substantially lower estimates. The use of these techniques can reduce the uncertainties in the estimation of  $EC_a$  or  $BC_e$ , and, therefore, provide more reliable data to be used by global and regional climate models that deal with the impact of biomass burning.

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Table 1. Concentration of the size-resolved carbonaceous components collected at the pasture site (FNS) during the dry period for the LBA-SMOCC-2002 campaign using different methods (EGA, LTM and aethalometer). All concentrations are given in  $\mu g$  m<sup>-3</sup>. NM indicates not measured and STDEV refers to the standard deviaton of the average. The last column is for the equivalent black carbon (BC<sub>e</sub>) for particles with  $D_p < 10~\mu m$  measured by the aethalometer at 880 nm and corrected for the filter loading and multiple scattering artifacts.